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Section 43  
to

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

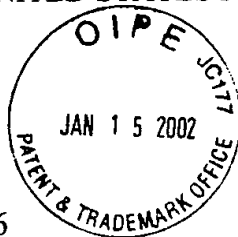
Jörg WÖRNER et al.

Appl. No. 09/987,236

Confirmation No. 6404

Filed: November 13, 2001

For: OPTOELECTRONIC DEVICE



Art Unit: 2811

Examiner: Unassigned

Atty. Docket No. 31833-176361

Customer No.



26694

PATENT TRADEMARK OFFICE

**Response To Notice To File Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**BOX MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts dated November 27, 2001, submitted herewith are the following:

- Inventor Declaration
- Copy of Notification of Missing Parts
- Assignment with Certified Cover Letter
- Priority is claimed under 35 USC 119 from German Patent Application No. 100 56 232.9, filed November 13, 2000 (certified copy enclosed).
- Information Disclosure Statement and PTO-1449 w/2 references.

**Official fees:**

Basic Filing Fee	\$ 370.00
Assignment Recording Fee	\$ 40.00
Surcharge for late submission of declaration	\$ 65.00

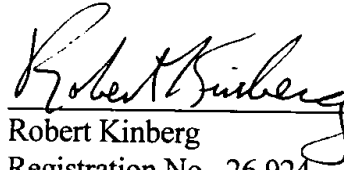
**Total fees enclosed: \$ 475.00**

Applicant(s): Jörg WÖRNER et al.  
Appl. No. 09/987,236

Should no remittance be attached, or should any greater or lesser fee be required, please charge or credit our Account No. 22-0261 and advise us accordingly.

Date: 4/15/02

Respectfully submitted,



Robert Kinberg

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/987,236	11/13/2001	Jorg Wornier	31833-176361 RK

CONFIRMATION NO. 6404

## FORMALITIES LETTER



\*OC000000007121034\*

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VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP

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Date Mailed: 11/27/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 KZENIDIE 00000004 09987236  
01 FC:201 370.00 0P  
02 FC:205 65.00 0P